Changes to Proposal No. 2023-04
"Defining a Field for Ownership and Custodial History in Structured Form in the MARC 21 Formats"

0. Introduction

The MARC Advisory Committee (MAC)[1] has commented on proposal no. 2023-04 "Defining a Field for Ownership and Custodial History in Structured Form in the MARC 21 Formats"[2], both on the MARC listserv[3] and in the meeting on February 1st, 2023[4].

As authors of the proposal, the members of the Task Group on MARC of the D-A-CH Working Group on Provenance have met and discussed the helpful comments.

We are thankful for the opportunity to adapt our proposal, and hereby describe the changes we decided to make to our proposal, so that hopefully the proposal is ready for a vote by the MAC members during the meeting on February 2nd, 2023.

1. Move Indicator 1 "Type of ownership and custodial history information" to subfield $o

We decided to move the proposed indicator 1 "Type of ownership and custodial history information" of field 361 to be proposed as a new subfield $o "Type of ownership and custodial history information", with its definition: "Designates the type of ownership and custodial history, e.g., former ownership, accession, withdrawal, temporary possession, or collection".

We did so based on some of the comments. A subfielded solution is preferable, as it offers more flexibility, and will provide opportunities for other parts of the community to handle this aspect of their data by means of their respective vocabularies and application profiles. In addition, subfield $7 "Data provenance" can provide data about the contents of the new subfield $o, which is not possible for data about an indicator position.

2. Define Indicator 1 "Privacy"

For the now free indicator 1 position, we decided to propose "Privacy", with the following values:

   # - No information provided

   0 - Private

   1 - Not private

We did so in accordance to some of the comments, and to align the new field to existing fields in the 5XX region[5] of the MARC Format for Bibliographic Data: field 541 "Immediate Source of Acquisition Note", field 542 "Information Relating to Copyright Status", field 561 "Ownership and Custodial History", and field 583 "Action Note".

3. Remove Indicator 2 "Type of accession"

We decided to remove Indicator 2 "Type of accession", which was based on the RDA "accrual method" vocabulary, from our proposal.

We did so based on the impression that this element has caused the most comments, questions, and concerns, without proving to be very beneficial to our original approach. The type af accession / accrual method has no equivalent occurrences in field 9100 of the K10plus data.

We are open to later efforts in order to embed this vocabulary into the MARC format, possibly in relationship to field 541 "Immediate Source of Acquisition Note"[6] and its subfield $c "Method of acquisition".

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[1] https://www.loc.gov/marc/mac/advisory.html
[2] https://www.loc.gov/marc/mac/2023/2023-04.html
[3] https://listserv.loc.gov/cgi-bin/wa?A1=ind2301&L=MARC
[4] https://www.loc.gov/marc/mac/mw2023_age.html
[5] https://www.loc.gov/marc/bibliographic/bd5xx.html
[6] https://www.loc.gov/marc/bibliographic/bd541.html

4. Move subfield $i "Date in structured form" to subfield $k "Date in structured form"

We decided to move subfield $i "Date in structured form" to subfield $k "Date in structured form".

We did so based on a comment that $i is defined in many fields as "relationship information", especially in the MARC Format for Authority Data.

5. Move subfield $j "Date in unstructured form" to subfield $l "Date in unstructured form"

We decided to move subfield $j "Date in unstructured form" to subfield $l "Date in unstructured form".

We did so to keep the pair of the date subfields together.

6. Summary: proposed field 361 in the new version

Based on the original proposal, and the changes described here, we now propose field 361 as follows, with changes in grey:

361 - Ownership and Custodial History in Structured Form (R)

Indicators

First Indicator – Privacy

      # - No information provided

      0 - Private

      1 - Not private

Second indicator – Undefined

      # - Undefined

Subfield Codes

      $a - Name (NR)

      $f - Ownership and custodial history evidence term (R)

      $k - Date in structured form (NR)

      $l - Date in unstructured form (NR)

      $o - Type of ownership and custodial history information (R)

      $s - Shelf mark of copy described (NR)

      $u - Uniform Resource Identifier (R)

      $x - Nonpublic note (R)

      $y - Identifier of the copy described (NR)

      $z - Public note (R)

      $0 - Authority record control number or standard number (R)

      $1 - Real World Object URI (R)

      $3 - Materials specified (NR)

      $5 - Institution to which field applies (NR)

      $6 - Linkage (NR)

      $7 - Data provenance (R)

      $8 - Field link and sequence number (R)

7. Example

Example 4.7 from proposal 2023-04, in the new version of field 361, changes in grey

Bibliographic, complex custodial history, with different types of custodial history information given in subfield $o, and dates in structured and unstructured form

cf. https://opac.k10plus.de/DB=2.299/PPNSET?PPN=1029478546

361 1# **$o**Zugang **$5**DE-1 **$y**575632259 **$s**Vq 5270-2 **$a**Königliche Bibliothek zu Berlin **$0**(DE-588)37101-4 **$0**http://d-nb.info/gnd/37101-4 **$f**Stempel **$7**(dpesc/dpsff)t-pro **$l**vor 1840 **$z**Doppelrandiger Stempel Ex Biblioth. Regia Berolinensi.

361 1# **$o**Ausleihe **$5**DE-1 **$y**575632259 **$s**Vq 5270-2 **$a**Preußische Staatsbibliothek **$0**(DE-588)37102-6 **$0**http://d-nb.info/gnd/37102-6 **$f**Zensurexemplar **$f**Grafisches Zeichen **$7**(dpesc/dpsff)t-pro **$z**Auf der Titelseite blaues Dreieck nach unten.

361 1# **$o**Abgang **$5**DE-1 **$y**575632259 **$s**Vq 5270-2 **$a**Preußische Staatsbibliothek **$0**(DE-588)37102-6 **$0**http://d-nb.info/gnd/37102-6 **$f**Beutegut **$7**(dpesc/dpsff)t-pro **$l**ca. 1945 **$z**Aus verlagerten Beständen in die Sowjetunion abtransportiert und laut Zeitzeugenbericht 1953 mit anderem Beutegut in zwei Güterwaggons in Duschanbe (Tadschikistan) angekommen.

361 1# **$o**Vorbesitz **$5**DE-1 **$y**575632259 **$s**Vq 5270-2 **$a**Eisener, Reinhard **$f**Monogramm **$7**(dpesc/dpsff)t-pro **$l**ca. 1995 **$z**Monogramm rh (gedreht: E) auf dem Vorsatz. Als Geschenk in Duschanbe (dort seit 1953 in Privatbesitz) erworben.

361 1# **$o**Vorbesitz **$5**DE-1 **$y**575632259 **$s**Vq 5270-2 **$a**NN **$f**Notiz **$7**(dpesc/dpsff)t-pro **$z**Mit Bleistift auf der Titelseite notierte Initialen Ch [?]

361 1# **$o**Zugang **$5**DE-1 **$y**575632259 **$s**Vq 5270-2 **$a**Staatsbibliothek zu Berlin **$0**(DE-588)5036103-X **$0**http://d-nb.info/gnd/5036103-X **$f**Restitutionsexemplar **$7**(dpesc/dpsff)t-pro **$k**20180824 **$z**Geschenk von Dr. Reinhard Eisener, Berlin